SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

L										
	OMB Number:	3235-0287								
	Estimated average burden									
	hours per response:	0.5								

Instruction 1(b). I. Name and Address of Reporting Person*		F	Filed pursuant to Section 16(a) of the Securities Exchange Act of 193	34	hours per	response:	0.9
			or Section 30(h) of the Investment Company Act of 1940				
1. Name and Ac Bunker Ke	1 0	9 Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>Zentalis Pharmaceuticals, Inc.</u> [ZNTL]		ationship of Reporting F k all applicable) Director Officer (give title	10% Owner Other (speci	
	(First) LIS PHARMAC TH AVENUE, S	(Middle) CEUTICALS, INC. SUITE 2201	3. Date of Earliest Transaction (Month/Day/Year) 12/01/2020		below) Chief Operatin	below) ng Officer	
(Street) NEW YORK	X NY	10018	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Fi Form filed by One R Form filed by More t Person	eporting Person	
(City)	(State)	(Zip)					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1- Non-Derivative Occurries Acquired, Disposed 61, 61 Derichelary Owned											
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year) 3. Transaction Code (Instr. 8) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	12/01/2020		S ⁽¹⁾		100	D	\$49.68	92,520	I	See Footnote ⁽²⁾	
Common Stock	12/01/2020		s ⁽¹⁾		2,505	D	\$51.5888 ⁽³⁾	90,015	I	See Footnote ⁽²⁾	
Common Stock	12/01/2020		S ⁽¹⁾		1,500	D	\$52.5756 ⁽⁴⁾	88,515	I	See Footnote ⁽²⁾	
Common Stock	12/01/2020		S ⁽¹⁾		2,943	D	\$53.6902 ⁽⁵⁾	85,572	I	See Footnote ⁽²⁾	
Common Stock	12/01/2020		S ⁽¹⁾		1,372	D	\$54.5275 ⁽⁶⁾	84,200	I	See Footnote ⁽²⁾	
Common Stock								1,076,937	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/M			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Explanation of Responses:

1. The sale reported in the Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on September 21, 2020.

2. Represents shares nominally held by Sundog Ranch, Inc. on behalf of the Bunker Family Protection Trust, the sole shareholder of Sundog Ranch, Inc. The Reporting Person and his wife are the primary beneficiaries of the Bunker Family Protection Trust and the Reporting Person and his wife are also directors of Sundog Ranch, Inc. The Reporting Person disclaims beneficial ownership of the securities held by Sundog Ranch, Inc. except to the extent of his pecuniary interest therein, if any.

3. The price reported is a weighted average price. The shares were sold in multiple transactions at per share prices ranging from \$51.13 to \$52.06. The Reporting Person undertakes to provide upon request to the SEC staff, the Issuer, or any stockholder of the Issuer, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

4. The price reported is a weighted average price. The shares were sold in multiple transactions at per share prices ranging from \$52.16 to \$53.15. The Reporting Person undertakes to provide upon request to the SEC staff, the Issuer, or any stockholder of the Issuer, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

5. The price reported is a weighted average price. The shares were sold in multiple transactions at per share prices ranging from \$53.245 to \$54.24. The Reporting Person undertakes to provide upon request to the SEC staff, the Issuer, or any stockholder of the Issuer, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

6. The price reported is a weighted average price. The shares were sold in multiple transactions at per share prices ranging from \$54.26 to \$54.86. The Reporting Person undertakes to provide upon request to the SEC staff, the Issuer, or any stockholder of the Issuer, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Remarks:

By: /s/ Melissa B. Epperly, Attorney-in-Fact for Kevin D. 12/02/2020 Bunker

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.